



2132
0130

PATENT
Customer Number 22,852
Attorney Docket No.: 7451.0001-16
InterTrust Ref. No.: IT-5.6.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. Ginter, et al.

Application No.: 09/698,044

Filed: October 30, 2000

For: SYSTEMS AND METHODS FOR
SECURE TRANSACTION
MANAGEMENT AND ELECTRONIC
RIGHTS PROTECTION

)
)
) Group Art Unit: Unassigned

) Examiner: Unassigned

RECEIVED

FEB 2-0 2001

Technology Center 2100

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents were previously submitted in one or more of parent applications Serial No. 09/208,017, filed December 9, 1998, Serial No. 08/964,333, filed November 4, 1997, (now U.S. Patent No. 5,982,891), or Serial No. 08/388,107, filed February 13, 1995, now abandoned, which applicant relies for the benefits provided in 35 U.S.C. § 120. Applicants will provide additional copies if so requested. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-8600

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

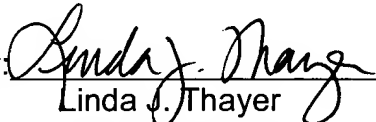
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: January 12, 2001

By: 
Linda J. Thayer
Reg. No. 45,681

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-6600